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(21) International Application Number: PCT/US94/12270 (22) International Filing Date: 26 October 1994 (26.10.94) (30) Priority Data: 08/146,504 1 November 1993 (01.11.93) US (71) Applicant: NANOGEN, INC. [US/US]; Suite 16, 11588 Sorrento Valley Road, San Diego, CA 92121 (US). (72) Inventors: HELLER, Michael, J.; 1614 Hawk View Drive, Encinitas, CA 92630 (US). TU, Eugene; 3527 Lark Street, San Diego, CA 92103 (US). (74) Agents: MURPHY, David, B. et al.; Lyon & Lyon, First Interstate World Center, Suite 4700, 633 West Fifth Street, Los Angeles, CA 90071-2066 (US).		(81) Designated States: AU, BR, CA, CN, FI, JP, NZ, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report. With amended claims.
(54) Title: SELF-ADDRESSABLE SELF-ASSEMBLING MICROELECTRONIC SYSTEMS AND DEVICES FOR MOLECULAR BIOLOGICAL ANALYSIS AND DIAGNOSTICS (57) Abstract: <p>A self-addressable, self-assembling microelectronic device is designed and fabricated to actively carry out and control multi-step and multiplex molecular biological reactions in microscopic formats. These reactions include nucleic acid hybridization, antibody/antigen reaction, diagnostics, and biopolymer synthesis. The device can be fabricated using both microlithographic and micro-machining techniques. The device can electronically control the transport and attachment of specific binding entities to specific micro-locations. The specific binding entities include molecular biological molecules such as nucleic acids and polypeptides. The device can subsequently control the transport and reaction of analytes or reactants at the addressed specific micro-locations. The device is able to concentrate analytes and reactants, remove non-specifically bound molecules, provide stringency control for DNA hybridization reactions, and improve the detection of analytes. The device can be electronically replicated.</p>		

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DESCRIPTION

SELF-ADDRESSABLE SELF-ASSEMBLING
MICROELECTRONIC SYSTEMS AND DEVICES
FOR MOLECULAR BIOLOGICAL ANALYSIS AND DIAGNOSTICS

Field of the Invention

This invention pertains to the design, fabrication, and uses of a self-addressable, self-assembling microelectronic system which can actively carry out and control multi-step and multiplex reactions in microscopic formats. In particular, these reactions include molecular biological reactions, such as nucleic acid hybridizations, antibody/antigen reactions, clinical diagnostics, and biopolymer synthesis.

10 Background of the Invention

Molecular biology comprises a wide variety of techniques for the analysis of nucleic acid and protein, many of which form the basis of clinical diagnostic assays. These techniques include nucleic acid hybridization analysis, restriction enzyme analysis, genetic sequence analysis, and separation and purification of nucleic acids and proteins (See, e.g., J. Sambrook, E. F. Fritsch, and T. Maniatis, Molecular Cloning: A Laboratory Manual, 2 Ed., Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 1989).

Most molecular biology techniques involve carrying out numerous operations (e.g., pipetting) on a large number of samples. They are often complex and time consuming, and generally require a high degree of accuracy. Many a technique is limited in its application by a lack of sensitivity, specificity, or reproducibility. For example, problems with sensitivity and specificity have so far limited the application of nucleic acid hybridization.

described a method for synthesizing an array of oligonucleotides on a solid support for SBH. However, Southern did not address how to achieve optimal stringency condition for each oligonucleotide on an array.

5 Fodor et al., 364 Nature, pp. 555-556, 1993, used an array of 1,024 8-mer oligonucleotides on a solid support to sequence DNA. In this case, the target DNA was a fluorescently labeled single-stranded 12-mer oligonucleotide containing only nucleotides A and C. 1 pmol (~6 x
10 10¹¹ molecules) of the 12-mer target sequence was necessary
10 for the hybridization with the 8-mer oligomers on the array. The results showed many mismatches. Like Southern, Fodor et al., did not address the underlying problems of direct probe hybridization, such as stringency
15 control for multiplex hybridizations. These problems, together with the requirement of a large quantity of the simple 12-mer target, indicate severe limitations to this SBH format.

Concurrently, Drmanac et al., 260 Science 1649-1652,
20 1993, used the second format to sequence several short (116 bp) DNA sequences. Target DNAs were attached to membrane supports ("dot blot" format). Each filter was sequentially hybridized with 272 labeled 10-mer and 11-mer oligonucleotides. A wide range of stringency condition
25 was used to achieve specific hybridization for each n-mer probe; washing times varied from 5 minutes to overnight, and temperatures from 0°C to 16°C. Most probes required 3 hours of washing at 16°C. The filters had to be exposed for 2 to 18 hours in order to detect hybridization
30 signals. The overall false positive hybridization rate was 5% in spite of the simple target sequences, the reduced set of oligomer probes, and the use of the most stringent conditions available.

Fodor et al., 251 Science 767-773, 1991, used
35 photolithographic techniques to synthesize oligonucleotides on a matrix. Pirrung et al., in US Patent

5,143,854, September 1, 1992, teach large scale photolithographic solid phase synthesis of polypeptides in an array fashion on silicon substrates.

In another approach of matrix hybridization, Beattie et al., in The 1992 San Diego Conference: Genetic Recognition, November, 1992; used a microrobotic system to deposit micro-droplets containing specific DNA sequences into individual microfabricated sample wells on a glass substrate. The hybridization in each sample well is
10 detected by interrogating miniature electrode test fixtures, which surround each individual microwell with an alternating current (AC) electric field.

Regardless of the format, current micro-scale DNA hybridization and SBH approaches do not overcome the
15 underlying physical problems associated with direct probe hybridization reactions. They require very high levels of relatively short single-stranded target sequences or PCR amplified DNA, and produce a high level of false positive hybridization signals even under the most stringent condi-
20 tions. In the case of multiplex formats using arrays of short oligonucleotide sequences, it is not possible to optimize the stringency condition for each individual sequence with any conventional approach because the arrays or devices used for these formats can not change or adjust
25 the temperature, ionic strength, or denaturants at an individual location, relative to other locations. Therefore, a common stringency condition must be used for all the sequences on the device. This results in a large number of non-specific and partial hybridizations and
30 severely limits the application of the device. The problem becomes more compounded as the number of different sequences on the array increases, and as the length of the sequences decreases. This is particularly troublesome for SBH, which requires a large number of short
35 oligonucleotide probes.

The device is able to control and actively carry out a variety of assays and reactions. Analytes or reactants can be transported by free field electrophoresis to any specific micro-location where the analytes or reactants are effectively concentrated and reacted with the specific binding entity at said micro-location. The sensitivity for detecting a specific analyte or reactant is improved because of the concentrating effect. Any un-bound analytes or reactants can be removed by reversing the polarity of a micro-location. Thus, the device also improves the specificity of assays and reactions.

The device provides independent stringency control for hybridization reactions at specific micro-locations. Thus all the micro-locations on the matrix can have different stringency conditions at the same time, allowing multiple hybridizations to be conducted at optimal conditions.

The device also facilitates the detection of hybridized complexes at each micro-location by using an associated optical (fluorescent or spectrophotometric) imaging detector system or an integrated sensing component.

In addition, the active nature of the device allows complex multi-step reactions to be carried out with minimal outside physical manipulations. If desired, a master device addressed with specific binding entities can be electronically replicated or copied to another base device.

Thus, the claimed device can carry out multi-step and multiplex reactions with complete and precise electronic control, preferably with a micro-processor. The rate, specificity, and sensitivity of multi-step and multiplex reactions are greatly improved at specific micro-locations of the claimed device.

The present invention overcomes the limitations of the arrays and devices for multi-sample hybridizations described in the background of the invention. Previous

methods and devices are functionally passive regarding the actual hybridization process. While sophisticated photolithographic techniques were used to make an array, or microelectronic sensing elements were incorporated for
5 detection, previous devices did not control or influence the actual hybridization process. They are not designed to actively overcome any of the underlying physical problems associated with hybridization reactions.

This invention may utilize micro-locations of any
10 size or shape consistent with the objective of the invention. In the preferred embodiment of the invention, micro-locations in the sub-millimeter range are used.

By "specific binding entity" is generally meant a biological or synthetic molecule that has specific
15 affinity to another molecule, through covalent bonding or non-covalent bonding. Preferably, a specific binding entity contains (either by nature or by modification) a functional chemical group (primary amine, sulfhydryl, aldehyde, etc.), a common sequence (nucleic acids), an
20 epitope (antibodies), a hapten, or a ligand, that allows it to covalently react or non-covalently bind to a common functional group on the surface of a micro-location. Specific binding entities include, but are not limited to: deoxyribonucleic acids (DNA), ribonucleic acids (RNA),
25 synthetic oligonucleotides, antibodies, proteins, peptides, lectins, modified polysaccharides, synthetic composite macromolecules, functionalized nanostructures, synthetic polymers, modified/blocked nucleotides/nucleosides, modified/blocked amino acids, fluorophores, chromo-
30 phores, ligands, chelates and haptens.

By "stringency control" is meant the ability to discriminate specific and non-specific binding interactions.

Thus, in a first aspect, the present invention
35 features a device with an array of electronically self-addressable microscopic locations. Each microscopic

-adjusting electric potential to improve the resolution of single mis-match hybridizations (e.g., to identify point mutations);

-applying independent electric potential control to individual hybridization events occurring in the same bulk solution; and

-using electric potential control to improve hybridization of un-amplified target DNA sequences to arrays of capture oligonucleotide probes.

10 In a fifth aspect, this invention features a method for synthesizing biopolymers at micro-locations.

In a sixth aspect, this invention features a method for replicating a master device.

In a seventh aspect, this invention features methods
15 for detecting and analyzing reactions that have occurred at the addressed micro-locations using self-addressed microelectronic devices with associated optical, optoelectronic or electronic detection systems or self-addressed microelectronic devices with integrated optical,
20 optoelectronic or electronic detection systems.

Brief Description of the Drawings

FIGURE 1 is the cross-section of three self-addressable micro-locations fabricated using microlithographic techniques.

25 FIGURE 2 is the cross-section of a microlithographically fabricated micro-location.

FIGURE 3 is a schematic representation of a self-addressable 64 micro-location chip which was actually fabricated, addressed with oligonucleotides, and tested.

30 FIGURE 4 shows particular attachment chemistry procedure which allows rapid covalent coupling of specific oligonucleotides to the attachment surface of a micro-location.

FIGURE 5 is a blown-up schematic diagram of a micro-
35 machined 96 micro-locations device.

FIGURE 6 is the cross-section of a micro-machined device.

FIGURE 7 shows the mechanism the device uses to electronically concentrate analyte or reactant molecules at a specific micro-location.

FIGURE 8 shows the self-directed assembly of a device with three specific oligonucleotide binding entities (SSO-A, SSO-B, and SSO-C).

FIGURE 9 shows an electronically controlled hybridization process with sample/target DNA being concentrated at micro-locations containing specific DNA capture sequences.

FIGURE 10 shows an electronically directed serial hybridization process.

FIGURE 11 shows the electronic stringency control (ESC) of a hybridization process for determining single point mutations.

FIGURE 12 shows a scheme for the detection of hybridized DNA without using labeled DNA probe, i.e., electronically controlled fluorescent dye detection process.

FIGURE 13 shows a scheme of electronically controlled replication of devices.

FIGURE 14 shows a scheme of electronically directed combinatorial synthesis of oligonucleotides.

Detailed Description of the Invention

The devices and the related methodologies of this invention allow important molecular biology and diagnostic reactions to be carried out under complete electronic control. The basic concept of this invention is a micro-electronic device with specially designed addressable microscopic locations. Each micro-location has a derivatized surface for the covalent attachment of specific binding entities (i.e., an attachment layer), a permeation layer, and an underlying direct current (DC) micro-

lithography, ion beam lithography, or molecular beam epitaxy. While microscopic locations are desirable for analytical and diagnostic type applications, larger addressable locations (e.g., larger than 2 mm) are
5 desirable for preparative scale biopolymer synthesis.

After micro-locations have been created by using microlithographic and/or micro-machining techniques, chemical techniques are used to create the specialized attachment and permeation layers which would allow the DC
10 mode micro-electrodes under the micro-locations to: (1) affect or cause the free field electrophoretic transport of specific (charged) binding entities from any location; (2) concentrate and covalently attach the specific binding entities to the specially modified surface of the specific
15 micro-location; and (3) continue to actively function in the DC mode after the attachment of specific binding entities so that other reactants and analytes can be transported to or from the micro-locations.

DESIGN PARAMETERS (MICROLITHOGRAPHY)

20 Figure 1 shows a basic design of self-addressable micro-locations fabricated using microlithographic techniques. The three micro-locations (10) (ML-1, ML-2, ML-3) are formed on the surface of metal sites (12) which have been deposited on an insulator layer/base material.
25 The metal sites (12) serve as the underlying micro-electrode structures (10). An insulator material separates the metal sites (12) from each other. Insulator materials include, but are not limited to, silicon dioxide, glass, resist, rubber, plastic, or ceramic
30 materials.

Figure 2 shows the basic features of an individual micro-location (10) formed on a microlithographically produced metal site (12). The addressable micro-location is formed on the metal site (12), and incorporates an
35 oxidation layer (20), a permeation layer (22), an

attachment layer (24), and a binding entity layer (26). The metal oxide layer provides a base for the covalent coupling of the permeation layer. The permeation layer provides spacing between the metal surface and the attachment/binding entity layers and allows solvent molecules, small counter-ions, and gases to freely pass to and from the metal surface. The thickness of the permeation layer for microlithographically produced devices can range from approximately 1 nanometers (nm) to 10 microns (μm), with 2 nm to 1 μm being the most preferred. The attachment layer provides a base for the covalent binding of the binding entities. The thickness of the attachment layer for microlithographically produced devices can range from 0.5 nm to 1 μm , with 1 nm to 200 nm being the most preferred. In some cases, the permeation and attachment layers can be formed from the same material. The specific binding entities are covalently coupled to the attachment layer, and form the specific binding entity layer. The specific binding entity layer is usually a mono-layer of the specific binding molecules. However, in some cases the binding entity layer can have several or even many layers of binding molecules.

Certain design and functional aspects of the permeation and attachment layer are dictated by the physical (e.g., size and shape) and the chemical properties of the specific binding entity molecules. They are also dictated to some extent by the physical and chemical properties of the reactant and analyte molecules, which will be subsequently transported and bound to the micro-location. For example, oligonucleotide binding entities can be attached to one type of micro-location surface without causing a loss of the DC mode function, i.e., the underlying micro-electrode can still cause the rapid free field electrophoretic transport of other analyte molecules to or from the surface to which the oligonucleotide binding entities are attached. However, if large globular

FABRICATION PROCEDURES (MICROLITHOGRAPHY)Microolithography Fabrication Steps

General microlithographic or photolithographic techniques can be used for the fabrication of the complex "chip" type device which has a large number of small micro-locations. While the fabrication of devices does not require complex photolithography, the selection of materials and the requirement that an electronic device function actively in aqueous solutions does require special considerations.

The 64 micro-location device (30) shown in Figure 3 can be fabricated using relatively simple mask design and standard microlithographic techniques. Generally, the base substrate material would be a 1 to 2 centimeter square silicon wafer or a chip approximately 0.5 millimeter in thickness. The silicon chip is first overcoated with a 1 to 2 μm thick silicon dioxide (SiO_2) insulation coat, which is applied by plasma enhanced chemical vapor deposition (PECVD).

In the next step, a 0.2 to 0.5 μm metal layer (e.g., aluminum) is deposited by vacuum evaporation. In addition to aluminum, suitable metals for circuitry include gold, silver, tin, copper, platinum, palladium, carbon, and various metal combinations. Special techniques for ensuring proper adhesion to the insulating substrate materials (SiO_2) are used with different metals.

The chip is next overcoated with a positive photoresist (Shipley, Microposit AZ 1350 J), masked (light field) with the circuitry pattern, exposed and developed. The photosolubilized resist is removed, and the exposed aluminum is etched away. The resist island is now removed, leaving the aluminum circuitry pattern on the chip. This includes an outside perimeter of metal contact pads, the connective circuitry (wires), and the center array of micro-electrodes which serve as the underlying base for the addressable micro-locations.

Using PECVD, the chip is overcoated first with a 0.2 to 0.4 micron layer of SiO_2 , and then with a 0.1 to 0.2 micron layer of silicon nitride (Si_3N_4). The chip is then covered with positive photoresist, masked for the contact pads and micro-electrode locations, exposed, and developed. Photosolubilized resist is removed, and the SiO_2 and Si_3N_4 layers are etched away to expose the aluminum contact pads and micro-electrodes. The surrounding island resist is then removed, the connective wiring between the contact pads and the micro-electrodes remains insulated by the SiO_2 and Si_3N_4 layers.

The SiO_2 and Si_3N_4 layers provide important properties for the functioning of the device. First, the second SiO_2 layer has better contact and improved sealing with the aluminum circuitry. It is also possible to use resist materials to insulate and seal. This prevents undermining of the circuitry due to electrolysis effects when the micro-electrodes are operating. The final surface layer coating of Si_3N_4 is used because it has much less reactivity with the subsequent reagents used to modify the micro-electrode surfaces for the attachment of specific binding entities.

Permeation and Attachment Layer Formation Steps

At this point the micro-electrode locations on the device are ready to be modified with a specialized permeation and attachment layer. This represents the most important aspect of the invention, and is crucial for the active functioning of the device. The objective is to create on the micro-electrode an intermediate permeation layer with selective diffusion properties and an attachment surface layer with optimal binding properties. The attachment layer should have from 10^5 to 10^7 functionalized locations per square micron (μm^2) for the optimal attachment of specific binding entities. However, the attachment of specific binding entities must not overcoat

or insulate the surface so as to prevent the underlying micro-electrode from functioning. A functional device requires some fraction (~ 5% to 25%) of the actual metal micro-electrode surface to remain accessible to solvent (H₂O) molecules, and to allow the diffusion of counter-ions (e.g., Na⁺ and Cl⁻) and electrolysis gases (e.g., O₂ and H₂) to occur.

The intermediate permeation layer must also allow diffusion to occur. Additionally, the permeation layer should have a pore limit property which inhibits or impedes the larger binding entities, reactants, and analytes from physical contact with the micro-electrode surface. The permeation layer keeps the active micro-electrode surface physically distinct from the binding entity layer of the micro-location.

In terms of the primary device function, this design allows the electrolysis reactions required for electrophoretic transport to occur on micro-electrode surface, but avoids adverse electrochemical effects to the binding entities, reactants, and analytes.

One preferred procedure for the derivatization of the metal micro-electrode surface uses aminopropyltriethoxy silane (APS). APS reacts readily with the oxide and/or hydroxyl groups on metal and silicon surfaces. APS provides a combined permeation layer and attachment layer, with primary amine groups for the subsequent covalent coupling of binding entities. In terms of surface binding sites, APS produces a relatively high level of functionalization (i.e., a large number of primary amine groups) on slightly oxidized aluminum surfaces, an intermediate level of functionalization on SiO₂ surfaces, and very limited functionalization of Si₃N₄ surfaces.

The APS reaction is carried out by treating the whole device (e.g., a chip) surface for 30 minutes with a 10% solution of APS in toluene at 50°C. The chip is then washed in toluene, ethanol, and then dried for one hour at

50°C. The micro-electrode metal surface is functionalized with a large number of primary amine groups (10^5 to 10^6 per square micron). Binding entities can now be covalently bound to the derivatized micro-electrode surface.

5 The APS procedure works well for the attachment of oligonucleotide binding entities. Figure 4 shows the mechanism for the attachment of 3'-terminal aldehyde derivatized oligonucleotides (40) to an APS functionalized surface (42). While this represents one of the preferred
10 approaches, a variety of other attachment reactions are possible for both the covalent and non-covalent attachment of many types of binding entities.

DESIGN AND FABRICATION (MICRO-MACHINING)

This section describes how to use micro-machining
15 techniques (e.g., drilling, milling, etc.) or non-lithographic techniques to fabricate devices. In general, these devices have relatively larger micro-locations (> 100 microns) than those produced by microlithography. These devices could be used for analytical applications,
20 as well as for preparative type applications, such as biopolymer synthesis. Large addressable locations could be fabricated in three dimensional formats (e.g., tubes or cylinders) in order to carry a large amount of binding entities. Such devices could be fabricated using a
25 variety of materials, including, but not limited to, plastic, rubber, silicon, glass (e.g., microchannelled, microcapillary, etc.), or ceramics. In the case of micro-machined devices, connective circuitry and larger electrode structures can be printed onto materials using
30 standard circuit board printing techniques known to those skilled in the art.

Addressable micro-location devices can be fabricated relatively easily using micro-machining techniques. Figure 5 is a schematic of a representative 96 micro-
35 location device. This micro-location device is fabricated

transport and attachment of specific binding entities to specific micro-locations. The device self-assembles itself in the sense that no outside process, mechanism, or equipment is needed to physically direct, position, or place a specific binding entity at a specific micro-location. This self-addressing process is both rapid and specific, and can be carried out in either a serial or parallel manner.

A device can be serially addressed with specific binding entities by maintaining the selected micro-location in a DC mode and at the opposite charge (potential) to that of a specific binding entity. All other micro-locations are maintained at the same charge as the specific binding entity. In cases where the binding entity is not in excess of the attachment sites on the micro-location, it is necessary to activate only one other micro-electrode to affect the electrophoretic transport to the specific micro-location. The specific binding entity is rapidly transported (in a few seconds, or preferably less than a second) through the solution, and concentrated directly at the specific micro-location where it immediately becomes covalently bonded to the special surface. The ability to electronically concentrate reactants or analytes (70) on a specific micro-location (72) is shown in Figure 7. All other micro-locations remain unaffected by that specific binding entity. Any unreacted binding entity is removed by reversing the polarity of that specific micro-location, and electrophoresing it to a disposal location. The cycle is repeated until all desired micro-locations are addressed with their specific binding entities. Figure 8 shows the serial process for addressing specific micro-locations (81, 83, 85) with specific oligonucleotide binding entities (82, 84, 86).

The parallel process for addressing micro-locations simply involves simultaneously activating a large number

(particular group or line) of micro-electrodes so that the same specific binding entity is transported, concentrated, and reacted with more than one specific micro-locations.

(3) APPLICATIONS OF THE DEVICES

5 Once a device has been self-addressed with specific binding entities, a variety of molecular biology type multi-step and multiplex reactions and analyses can be carried out on the device. The devices of this invention are able to electronically provide active or dynamic
10 control over a number of important reaction parameters. This electronic control leads to significant improvements in reaction rates, specificities, and sensitivities. The improvements in these reaction parameters come from the ability of the device to electronically control and
15 affect: (1) the rapid transport of reactants or analytes to a specific micro-location containing attached specific binding entities; (2) improvement in reaction rates due to the concentrated reactants or analytes reacting with the specific binding entities at that specific micro-location;
20 and (3) the rapid and selective removal of un-reacted and non-specifically bound components from that micro-location. These advantages are utilized in a novel process called "electronic stringency control".

The self-addressed devices of this invention are able
25 to rapidly carry out a variety of micro-formatted multi-step and/or multiplex reactions and procedures; which include, but are not limited to:

- DNA and RNA hybridizations procedures and analysis in conventional formats, and new
30 improved matrix formats;
- molecular biology reaction procedures, e.g., restriction enzyme reactions and analysis, ligase reactions, kinasing reactions, and amplification procedures;

immediately becomes covalently bound to the surface of ML-1 (81). All other microelectrodes are maintained negative, and remain protected or shielded from reacting with SSO-1 sequence (82). The ML-1 potential is then reversed to negative (-) to electrophores any unreacted SSO-1 to a disposal system. The cycle is repeated, SSO-2 (84) ---> ML-2 (83), SSO-3 (86) ---> ML-3 (85), SSO-n ---> ML-n until all the desired micro-locations are addressed with their specific DNA sequences (Fig. 8(D)).

Another method for addressing the device is to transport specific binding entities such as specific oligonucleotides from an electronic reagent supply device. This supply device would hold a large quantity of binding entities or reagents and would be used to load analytical devices. Binding entities would be electronically transported between the two devices. Such a process eliminates the need for physical manipulations, such as pipetting, in addressing a device with binding entities.

Yet another method for addressing the device is to carry out the combinatorial synthesis of the specific oligonucleotides at the specific micro-locations. Combinatorial synthesis is described in a later section.

After the device is addressed with specific DNA sequences, the micro-locations on the array device remain as independent working direct current (DC) electrodes. This is possible because the attachment to the electrode surface is carried out in such a manner that the underlying micro-electrode does not become chemically or physically insulated. Each micro-electrode can still produce the strong direct currents necessary for the free field electrophoretic transport of other charged DNA molecules to and from the micro-location surface. The DNA array device provides complete electronic control over all aspects of the DNA hybridization and any other subsequent reactions.

An example of an electronically controlled hybridization process is shown in Figure 9. In this case, each addressable micro-location has a specific capture sequence (90). A sample solution containing target DNA (92) is applied to the device. All the micro-locations are activated and the sample DNA is concentrated at the micro-locations (Fig. 9(B)). Target DNA molecules from the dilute solution become highly concentrated at the micro-locations, allowing very rapid hybridization to the specific complementary DNA sequences on the surface. Reversal of the micro-electrode potential repels all unhybridized DNA from the micro-locations, while the target DNA remains hybridized (Fig. 9(C)). In similar fashion, reporter probes are hybridized in subsequent steps to detect hybridized complexes.

The electronic control of the hybridization process significantly improves the subsequent detection of the target DNA molecules by enhancing the overall hybridization efficiency and by removing non-specific DNA from the micro-location areas. It is expected that 10,000 to 100,000 copies of target sequences in un-amplified genomic DNA will be detectable. Hybridization reactions of this type can be carried out in a matter of minutes, with minimal outside manipulations. Extensive washing is not necessary.

Another common format for DNA hybridization assays involves having target DNAs immobilized on a surface, and then hybridizing specific probes to these target DNAs. This format can involve either the same target DNAs at multiple locations, or different target DNAs at specific locations. Figure 10 shows an improved version of this serial hybridization format. In this case micro-locations (101-107) are addressed with different capture DNAs. These are hybridized in a serial fashion with different sequence specific oligonucleotides (108,109). The micro-locations are sequentially biased positive to transport

- (4) Rapid removal of competing complementary target DNA sequences from specific micro-location(s) where hybridization has occurred. This process takes 10 to 20 seconds.
- 5 (6) The ability to carry out a complete hybridization process in several minutes.
- (7) The ability to carry out a hybridization process with minimal outside manipulations or washing steps.
- 10 (8) The use of electronic stringency control (ESC) to remove partially hybridized DNA sequences.
- (9) The ability to carry out hybridization analysis of un-amplified genomic target DNA sequences in the 1000 to 100,000 copy range.
- 15 (10) The use of ESC to improve the resolution of single base mis-match hybridizations (point mutations).
- (11) The use of ESC to provide individual stringency control in matrix hybridizations.
- 20 (12) Improving the detection of hybridization event by removing non-specific background components.
- (13) The development of new procedures which eliminate the need for using covalently labeled reporter probes or target DNA to detect the
- 25 hybridization events.

REPRODUCTION OF DEVICES

In addition to separately addressing individual devices with specific binding entities, it is also possible to produce a master device, which can copy specific

30 binding entities to other devices. This represents another method for the production of devices. The process for the replication of devices is shown in Figure 13. A master device containing micro-locations which have been addressed with specific binding sequences is hybridized

35 with respective complementary DNA sequences (130). These

complementary sequences are activated and thus capable of covalent binding to the micro-location attachment layer.

An unaddressed sister device (132) containing an attachment layer is aligned with the hybridized master device (Fig. 13(B)). The master device micro-locations are biased negative and the sister device micro-locations are biased positive. The DNA hybrids are denatured and are transported to the sister device, where the activated DNA sequence binds covalently to the micro-location (Fig. 13(C)). The process can be performed in parallel or in series, depending on the device geometry so that crosstalk between the micro-locations is minimized. The hybrids can be denatured by applying a sufficient negative potential or by using a positively charged chaotropic agent or denaturant.

DETECTION SYSTEM

In the case of fluorescent binding reactions, it is possible to use an epifluorescent type microscopic detection system for the analysis of the binding reactions. The sensitivity of the system depends on the associated imaging detector element (CCD, ICCD, Microchannel Plate) or photon counting PMT system. One alternative is to associate a sensitive CCD detector or avalanche photodiode (APD) detector directly with the device in a sandwich arrangement. Another alternative is to integrate optoelectronic or microelectronics detection in the device.

COMBINATORIAL BIOPOLYMER SYNTHESIS

The devices of this invention are also capable of carrying out combinatorial synthesis of biopolymers such as oligonucleotides and peptides. Such a process allows self-directed synthesis to occur without the need for any outside direction or influence. This combinatorial synthesis allows very large numbers of sequences to be synthesized on a device. The basic concept for combina-

DNA synthesizers. Oligomers were designed to contain either a 5' amino or a 3' ribonucleoside terminus. The 5' functionality was incorporated by using the ABI Aminolink 2 reagent and the 3' functionality was introduced by initiating synthesis from an RNA CPG support. The 3' ribonucleotide terminus can be converted to a terminal dialdehyde by the periodate oxidation method which can react with primary amines to form a Schiff's base. Reaction conditions were as follows; Dissolve 20-30 O.D. oligomer in water to a final concentration of 1 OD/ μ l. Add 1 vol of 0.1M sodium acetate, pH 5.2 and 1 vol 0.45M sodium periodate (made fresh in water). Stir and incubate reaction for at least 2 hours at ambient temperature, in the dark. Load reaction mix onto a Sephadex G-10 column (pasteur pipette, 0.6 X 5.5 cm) equilibrated in 0.1M sodium phosphate, pH 7.4. Collect 200 μ l fractions, spot 2 μ l aliquots on thin layer chromatography (TLC) and pool ultra violet (UV) absorbing fractions.

The following oligomers contain 3' ribonucleoside termini (U):

ET12R	5' - GCT AGC CCC TGC TCA TGA GTC TCU
CP-1	5' - AAA AAA AAA AAA AAA AAU
AT-A1	5' - CTA CGT GGA CCT GGA GAG GAA GGA GAC TGC CTG U
AT-A2	5' - GAG TTC AGC AAA TTT GGA GU
25 AT-A3	5' - CGT AGA ACT CCT CAT CTC CU
AT-A4	5' - GTC TCC TTC CTC TCC AGU
AT-A5	5' - GAT GAG CAG TTC TAC GTG GU
AT-A6	5' - CTG GAG AAG AAG GAG ACU
AT-A7	5' - TTC CAC AGA CTT AGA TTT GAC U
30 AT-A8	5' - TTC CGC AGA TTT AGA AGA TU
AT-A9	5' - TGT TTG CCT GTT CTC AGA CU
AT-A10	5' - CAT CGC TGT GAC AAA ACA TU

Oligomers containing 5' amine groups were generally reacted with fluorophores, such as Texas Red (TR, ex. 590nm, em. 610nm). Sulfonyl chlorides are very reactive towards primary amines forming a stable sulfonamide

linkage. Texas Red-DNA conjugates were made as follows: Texas Red sulfonyl chloride (Molecular Probes) was dissolved in dimethyl formamide (DMF) to a final concentration of 50 mg/ml (80 mM). Oligomer was dissolved
 5 in 0.4M sodium bicarbonate, pH 9.0-9.1, to a final concentration of 1 O.D./ μ l (5.4 mM for a 21-mer). In a micro test tube, 10 μ l oligomer and 20 μ l Texas Red was combined. Let reaction proceed in the dark for 1 hour. Quench reaction with ammonia or hydroxylamine, lyophilize
 10 sample and purify by PAGE (Sambrook et al., 1989, supra).

The following oligomers contain 5' amino termini:

ET21A	5'- Aminolink2 -	TGC GAG CTG CAG TCA GAC AT
ET10AL	5'- Aminolink2 -	GAG AGA CTC ATG AGC AGG
ET11AL	5'- Aminolink2 -	CCT GCT CAT GAG TCT CTC
15 T2	5'- Aminolink2 -	TTT TTT TTT TTT TTT TTT TT
RC-A1	5'- Aminolink2 -	CAG GCA GTC TCC TTC CTC TCC AGG
		TCC ACG TAG
RC-A2	5'- Aminolink2 -	CTC CAA ATT TGC TGA ACT C
RC-A3	5'- Aminolink2 -	GGA GAT GAG GAG TTC TAC G
20 RC-A4	5'- Aminolink2 -	CTG GAG AGG AAG GAG AC
RC-A5	5'- Aminolink2 -	CCA CGT AGA ACT GCT CAT C
RC-A6	5'- Aminolink2 -	GTC TCC TTC TTC TCC AG
RC-A7	5'- Aminolink2 -	GTC AAA TCT AAG TCT GTG GAA
RC-A8	5'- Aminolink2 -	ATC TTC TAA ATC TGC GGA A
25 RC-A9	5'- Aminolink2 -	GTC TGA GAA CAG GCA AAC A
RC-A10	5'- Aminolink2 -	ATG TTT TGT CAC AGC GAT G

Example 2: Electronically Addressable Micro-locations on a Microfabricated Device - Polylysine Method

30 Microelectrodes were fabricated from microcapillary tubes (0.2 mm x 5 mm). The microcapillaries were filled with 18-26% polyacrylamide containing 0.1 - 1.0% poly-lysine and allowed to polymerize. The excess capillary was scored and removed to prevent air bubbles from being
 35 trapped within the tubes and to standardize the tube

length. Capillaries were mounted in a manner such that they shared a common upper buffer reservoir and had individual lower buffer reservoirs. Each lower buffer reservoir contained a platinum wire electrode.

5 The top surface of the microcapillary in the upper reservoir was considered to be the addressable micro-location. Upper and lower reservoirs were filled with 0.1 M sodium phosphate, pH 7.4 and prerun for 10' at 0.05 mA constant using a BioRad 500/1000 power supply. Pipette
10 2 μ l (0.1 O.D.) periodate oxidized ET12R into the upper reservoir while the power is on and electrophorese for 2-5 minutes at constant current. Reverse polarity so that the test capillary is now biased negative and electrophorese an additional 2-5 minutes. Unbound DNA is repulsed while
15 the covalently attached DNA remains.

Aspirate upper reservoir buffer and rinse with buffer. Disassemble apparatus and mount a fresh reference capillary. Refill reservoir and add fluorescently labeled complement DNA, i.e., ET10AL-TR. Electrophoretically
20 concentrate the oligomer at the positively biased test micro-location for 2-5 minutes at 0.05 mA constant. Reverse the polarity and remove unbound complement. Remove test capillary and examine by fluorescence. Negative control for nonspecific binding was performed as described
25 above substituting a noncomplementary DNA sequence ET21A-TR for ET10AL-TR.

A cross-section of the capillary micro-locations were examined under a Jena epifluorescent microscope fitted with a Hamamatsu ICCD camera imaging system. Fluorescent
30 results indicate that complement ET10AL-TR hybridized to the binding entity/capture sequence and remained hybridized even when the potential was changed to negative. ET21A-TR noncomplement was not retained at the test capillary when the potential was reversed.

Example 3: Electronically Addressable Micro-locations
on a Microfabricated Device - Succinimidyl
Acrylate Method

This example describes an alternative attachment
5 chemistry which covalently binds the 5' terminus of the
oligomer. Capillaries were fabricated as described above
except that 1% succinimidyl acrylate (Molecular Probes)
was substitute for the polylysine. The capillaries were
made fresh because the succinimidyl ester reacts with
10 primary amines and is labile, especially above pH 8.0.
The capillaries were mounted as described above and the
reservoirs were filled with 0.1 M sodium phosphate, pH
7.4. Prerun the capillaries for 10 minutes at 0.05 mA.
Pipette 2 μ l ET10AL (0.1 O.D.), which contains a 5' amino
15 terminus, into the upper reservoir while the power is on
and electrophorese for 2-5 minutes. Reverse polarity so
that the test capillary is now biased negative and elec-
trophorese an additional 2-5 minutes. Unbound DNA is
repulsed while the covalently attached DNA remains.

20 Aspirate upper reservoir buffer and rinse with
buffer. Unmount the reference capillary and mount a fresh
reference capillary. Refill reservoir and add fluorescent
labeled complement oligomer, ET11AL-TR and electrophorese
as described above. Negative control for nonspecific
25 binding was performed as described above substituting a
noncomplement DNA sequence ET21A-TR for ET11AL-TR.

Fluorescent results indicate that complement ET11AL-
TR hybridized to the capture sequence and remained
hybridized even when the potential was changed to
30 negative. ET21A-TR noncomplement was not retained at the
working capillary when the potential was reversed.

Example 4: Electronically Controlled Fluorescent Dye
Detection Process-PAGE

DNA dyes such as ethidium bromide (EB) become fluore-
35 scent when intercalated into DNA. The fluorescence and

resists and silicon nitride were tried. The different topcoats were applied to silicon dioxide chips. The chips were examined by epifluorescence and then treated with APS followed by covalent attachment of periodate oxidized polyA RNA sequences (Sigma, MW 100,000). The chips were hybridized with 200 nM solution of Texas Red labeled 20-mer (T2-TR) in Hybridization Buffer, for 5 minutes at 37°C. The chips were washed 3 times in WB and once in 1 x SSC. The chips were examined by fluorescence at 590 nm excitation and 610 nm emission.

Silicon nitride was chosen because it had much less reactivity to APS relative to silicon dioxide and was not inherently fluorescent like the photoresist tested. Other methods such as UV burnout of the background areas are also possible.

8b) APEX Physical Characterization

A finished matrix chip was visually examined using a Probe Test Station (Micromanipulator Model 6000) fitted with a B & L microscope and a CCD camera. The chip was tested for continuity between the test pads and the outer contact pads. This was done by contacting the pads with the manipulator probe tips which were connected to a multimeter. Continuity ensures that the pads have been etched down to the metal surface. The pads were then checked for stability in electrolytic environments. The metal wires were rated to handle up to 1 mA under normal dry conditions. However, reaction to a wet environment was unknown. A drop (1-5 μ l) of buffered solution (1 x SSC) was pipetted onto the 8X8 matrix. Surface tension keeps the liquid in place leaving the outer contact pad area dry. A probe tip was contacted to a contact pad and another probe tip was contacted with the liquid. The current was incremented up to 50 nA at max voltage of 50 V using a HP 6625A power supply and HP3458A digital multimeter.

The initial fabrication consisted of the silicon substrate, a silica dioxide insulating layer, aluminum deposition and patterning, and a silicon nitride topcoat. These chips were not very stable in wet environments because the metal/nitride interface was physical in nature and electrolysis would undermine the nitride layer. This would result in the pads being electrically shorted. Furthermore, silicon nitride and Al have different expansion coefficients such that the nitride layer would crack when current was applied. This would allow solution to contact the metal directly, again resulting in electrolysis which would further undermine the layer.

The second fabrication process included a silicon dioxide insulating layer between the aluminum metal and silicon nitride layers. Silicon dioxide and Al have more compatible physical properties and form a better chemical interface to provide a more stable and robust chip.

8c) DNA Attachment

A matrix chip was functionalized with APS reagent as described in Example 5. The chip was then treated with periodate oxidized polyA RNA (Sigma, average MW 100,000). The chip was washed in WB to remove excess and unbound RNA. This process coated the entire chip with the capture sequence with a higher density at the exposed metal surfaces than at the nitride covered areas. The chip was hybridized with a 200 nM solution of T2-TR in HB for 5 minutes at 37°C. Then washed 3 times in WB and once in 1XSSC for one minute each at ambient temperature. The chip was examined by fluorescence at 590 nm excitation and 610 nm emission.

The opened metal areas were brightly fluorescent and had the shape of the pads. Low fluorescent intensities and/or irregular borders would suggest that the pads were not completely opened. Additional plasma etch times would be recommended.

Results will demonstrate that micro-locations are specifically addressed with unique binding entities. Nonspecific binding to negatively biased micro-locations will be negligible. The device and associated binding entity chemistry is stable under denaturation conditions, thus making the addressed and fabricated device reusable. Alternative methods for denaturing the hybrids would be to increase the current and/or increase the time it is applied.

10 Example 9: Electronic Stringency Control

The ability of the device to affect electronic stringency control is demonstrated with the Ras oncogene model system. A single base pair mismatch adversely affects the melting temperature (T_m), a measure of the stability of the duplex. Traditional methods to discriminate between mismatch and perfect match (i.e., stringency control) rely on temperature and salt conditions. Stringency can also be affected by the electrophoretic potential. Oligomers listed below can be paired such that resulting hybrids have 0-2 mismatches. Oligomer binding entities are coupled to the micro-location and hybridized as described elsewhere. The polarity at the micro-location is then reversed and the hybrids are subjected to constant current for a given time, or defined power levels to denature the mismatch without affecting the perfect match.

Ras-G	5' - GGT GGT GGG CCG CCG CGG TGT GGG CAA GAU -3'
Ras-1	3' - CC GCG GCC GCC ACA C - Aminolink2 -5'
Ras-2	3' - CC GCG GCA GCC ACA C - Aminolink2 -5'
30 Ras-3	3' - CC GTG GCA GCC ACA C - Aminolink2 -5'
Ras-T	5' - GGT GGT GGG CCG CGT CGG TGT GGG CAA GAU -3'

Microelectrodes are fabricated from microcapillary tubes as described elsewhere. Binding entities Ras-G is periodate oxidized and covalently bound to the addressed micro-location. Ras-G micro-location is then hybridized

with Ras-1-TR which is the perfect match, Ras-2-TR which is a one base pair mismatch (G-A) or Ras-3-TR which is a two base pair mismatch (G-A and G-T). The micro-locations are examined fluorescently to verify whether complementary sequences are hybridized and to what extent. The micro-capillaries are re-mounted and subjected to controlled time at constant current until the mismatched hybrids are removed without significantly affecting the perfectly matched hybrids.

- 10 Results will indicate that stringency could be affected by the electrophoretic potential. This example demonstrates that each micro-location can have individual stringency control, thus overcomes a major obstacle to large scale parallel processing techniques which had been
15 limited to a single common stringency level.

What is claimed is:

1. A self-addressable electronic device comprising:
 - a substrate,
 - a first selectively addressable electrode, the
 - 5 electrode being supported by the substrate,
 - a permeation layer, the permeation layer being
 - disposed adjacent the first selectively addressable
 - electrode,
 - a current source operatively connected to the
 - 10 first selectively addressable electrode, and
 - an attachment layer adjacent the permeation
 - layer.
2. The electronic device of claim 1, further including
- a second selectively addressable electrode, the
- 15 second electrode being supported by the substrate.
3. The electronic device of claim 1 or 2, further
- including an attachment layer, the attachment layer
- being disposed upon the permeation layer.
4. The electronic device of claim 1, wherein the
- 20 substrate includes a base and an overlying
- insulator.
5. The electronic device of claim 1, wherein the
- substrate is chosen from the following group:
- silicon, glass, silicon dioxide, plastic, or ceramic
- 25 materials.
6. The electronic device of claim 4, wherein the base
- is chosen from the following group: silicon, glass,
- silicon dioxide, plastic, or ceramic materials.
7. The electronic device of claim 4, wherein the base
- 30 material is silicon.

8. The electronic device of claim 4, wherein the insulator is silicon dioxide.
9. The electronic device of claim 1, wherein the substrate comprises a circuit pattern or board.
10. The electronic device of claim 2, wherein the first selectively addressable electrode and the second selectively addressable electrode are separated by an insulator supported by the substrate.
11. The electronic device of claim 10, wherein the insulator is chosen from the following group: silicon dioxide, plastic, glass, resist, rubber, or ceramic materials.
12. The electronic device of claim 10, wherein silicon nitride is disposed upon the insulator.
13. The electronic device of claim 1, wherein the current source is a direct current source.
14. The electronic device of claim 1, wherein the permeation layer is aminopropyltriethoxy silane.
15. The electronic device of claim 1, wherein the permeation layer and the selectively addressable electrode are separated by a buffer reservoir.
16. The electronic device of claim 1, wherein the electrode is chosen from the following group: aluminum, gold, silver, tin, copper, platinum, palladium, carbon, semiconductor materials, and combinations thereof.
17. A self-addressable electronic device comprising:

- a substrate,
a plurality of selectively addressable electrodes, the electrodes being disposed upon the substrate,
- 5 a current source,
electrical connections to the electrodes, the electrical connections providing a selective current path from the current source, and
a permeation layer adjacent each electrode,
10 forming addressable binding locations.
18. The electronic device of claim 17, further comprising a switch controller for selectively connecting said current source to said addressable electrodes.
- 15 19. The electronic device of claim 17, further comprising an attachment layer disposed on said permeation layer, forming addressable binding locations.
20. The electronic device of claim 17, wherein the electrode material is chosen from the group:
20 aluminum, gold, silver, tin, copper, platinum, palladium, carbon, semiconductor material, and combinations thereof.
21. The electronic device of claim 17, further including
25 an electronic insulative material disposed between said plurality of selectively addressable electrodes.
22. The electronic device of claim 17, wherein the plurality of addressable binding locations are arranged in an array.

23. The electronic device of claims 17, further including a cavity for holding a solution including binding entities, reagents, and analytes.
24. The electronic device of claim 17, wherein specific binding entities have been selectively transported and bound to said addressable binding locations, forming an addressed active location device.
25. The electronic device of claim 17, wherein the width of the binding locations on the device is between 0.5 microns and 200 microns.
26. The electronic device of claim 17, wherein the width of the binding locations on the device is between 5 microns and 100 microns.
27. A self-addressable electronic device comprising:
a substrate,
a plurality of selectively addressable electrodes, the electrodes being disposed upon the substrate,
a current source,
electrical connections to the electrodes, the electrical connections providing a selective current path from the current source,
individual buffer reservoirs associated with said electrodes,
individual permeation layers disposed adjacent said individual buffer reservoirs, forming addressable binding locations.
28. The electronic device of claim 27, further comprising a common reservoir for containing solutions including binding entities, reagents, and analytes.

29. The electronic device of claim 27, further comprising an attachment layer disposed on said permeation layer, forming addressable binding locations.
- 5 30. The electronic device of claim 27, wherein said addressable binding locations are arranged in an array.
31. The electronic device of claim 27, wherein the permeation layer is selected from the group
10 comprising: functionalized hydrophilic gels, membranes, and porous materials.
32. The electronic device of claim 27, wherein specific binding entities have been selectively transported and bound to said addressable binding locations,
15 forming an addressed active location device.
33. The electronic device of claim 27, wherein the width of the locations on the device is between 50 microns and 2 centimeters.
34. The electronic device of claim 27, wherein the width
20 of the locations on the device is between 100 microns and 5 millimeters.
35. A method for electronically controlling hybridization of DNA from a solution containing specific binding and non-specific binding DNA
25 sequences to a binding location, comprising the steps of:
placing the solution in contact with a first binding location including a first underlying electrode, and a second binding location including
30 a second underlying electrode;

placing said first binding location at a positive potential, relative to said second binding location, concentrating DNA on said first location surface; and

- 5 placing said first binding location at a negative potential, relative to said second binding location, wherein said negative potential or current is sufficient to remove the non-specifically bound DNA sequences from said first binding location, but
10 not sufficient to remove the specifically bound DNA sequences.

36. A method for electronically controlling hybridization of DNA from a solution containing specific binding and non-specific binding DNA
15 sequences to first and second binding locations, comprising the steps of:

placing the solution in contact with the first, second, and a third locations;

- 20 placing said first and second binding locations at a positive potential and said third location at a negative potential, concentrating DNA on said first and second locations;

- 25 placing said first and second specific binding locations at a negative potential and said third location at a positive potential; and

- 30 placing said first and second binding locations at negative potentials, relative to said third location, wherein said negative potential or current is sufficient to remove the non-specifically bound DNA from said first and second locations, but not sufficient to remove the specifically bound DNA sequences.

37. A method for electronically controlling hybridization of DNA from a solution containing

specific and non-specific DNA sequences to a first binding location and then to a second specific binding location, comprising the steps of:

5 placing the solution in contact with said first, second, and a third location;

placing said first binding location at a positive potential and said second binding location at a negative potential, concentrating DNA on said first location;

10 placing said first binding location at a negative potential and said second binding location at a positive potential, concentrating DNA on said second location; and

15 placing said first and second binding locations at negative potentials, relative to said third binding location, wherein said negative potential or current is sufficient to remove the non-specifically bound DNA from said first and second locations but not sufficient to remove the specifically bound DNA.

20 38. The method of hybridization of claim 37 wherein said negative potential or current is increased or decreased incrementally.

25 39. The method of claim 36 or 37 wherein multiple specific and non-specific DNA sequences are applied to an array of binding locations.

40. A method for actively transporting DNA from a solution to a plurality of locations, comprising the steps of:

30 placing a solution containing DNA in contact with a first, second, third, and n-number of locations;

providing a positive potential on said first location relative to other locations, transporting DNA to said first location;

5 providing a positive potential on said second location relatively to said first location; transporting DNA to said second location;

providing a positive potential to said third location relative to the second location, transporting DNA to said third location; and

10 repeating the process through n-number of locations.

41. An electronic controlled method for combinatorial synthesis of a biopolymer, comprising the steps of:

15 forming a plurality of reaction locations on a substrate; each reaction location being individually electronically addressable;

forming an attachment layer upon each reaction location;

20 placing said reaction locations in contact with a solution containing a charged monomer-A;

selectively biasing those locations at which reaction A is to occur at an opposite charge to monomer-A, and biasing those locations at which no reaction A is to occur the same charge as monomer-A;

25 concentrating and reacting monomer A on the specific A locations;

removing solution containing unreacted monomer A;

30 placing said reaction locations in contact with a solution containing a charged monomer B;

selectively biasing those locations for which reaction B is to occur at the opposite charge of monomer-B, and biasing those locations at which no reaction B is to occur the same charge as monomer-B;

concentrating and reacting monomer B on the specific B locations; and

repeating the process with monomer-A, monomer-B, to monomer-N, for n-number of times until all biopolymer sequences are complete.

42. A method for replicating a self-addressable electronic device addressed with specific DNA sequences, comprising the steps of:

hybridizing the complimentary sequences to the specific DNA sequences addressed on a master self-addressable electronic device;

aligning unaddressed locations on a recipient self-addressable electronic device with the addressed locations on said master device; and

biasing the locations on said master device negative and the locations on said recipient device positive, transporting the complimentary sequences to said recipient device.

43. The method for replicating patterned sequences of claim 42, further comprising denaturing the complimentary sequences from the master template.

44. A system for the detection of fluorescent or colorimetric binding reactions and assays, comprising:

two or more addressable locations; and
a detector system positioned adjacent to at least one of the locations.

45. The detection system of claim 44, wherein the detector is an optoelectronic detector chosen from the group: photodiode, avalanche photodiode, or photomultiplier tube.

AMENDED CLAIMS

[received by the International Bureau on 20 April 1995 (20.04.95);
original claims 44-48 amended; new claim 49 added;
remaining claims unchanged (2 pages)]

concentrating and reacting monomer B on the
specific B-locations; and

repeating the process with monomer-A, monomer-
B, to monomer-N, for n-number of times until all
5 biopolymer sequences are complete.

42. A method for replicating a self-addressable
electronic device addressed with specific DNA
sequences, comprising the steps of:

10 hybridizing the complimentary sequences to the
specific DNA sequences addressed on a master self-
addressable electronic device;

aligning unaddressed locations on a recipient
self-addressable electronic device with the
addressed locations on said master device; and

15 biasing the locations on said master device
negative and the locations on said recipient device
positive, transporting the complimentary sequences
to said recipient device.

20 43. The method for replicating patterned sequences of
claim 42, further comprising denaturing the
complimentary sequences from the master template.

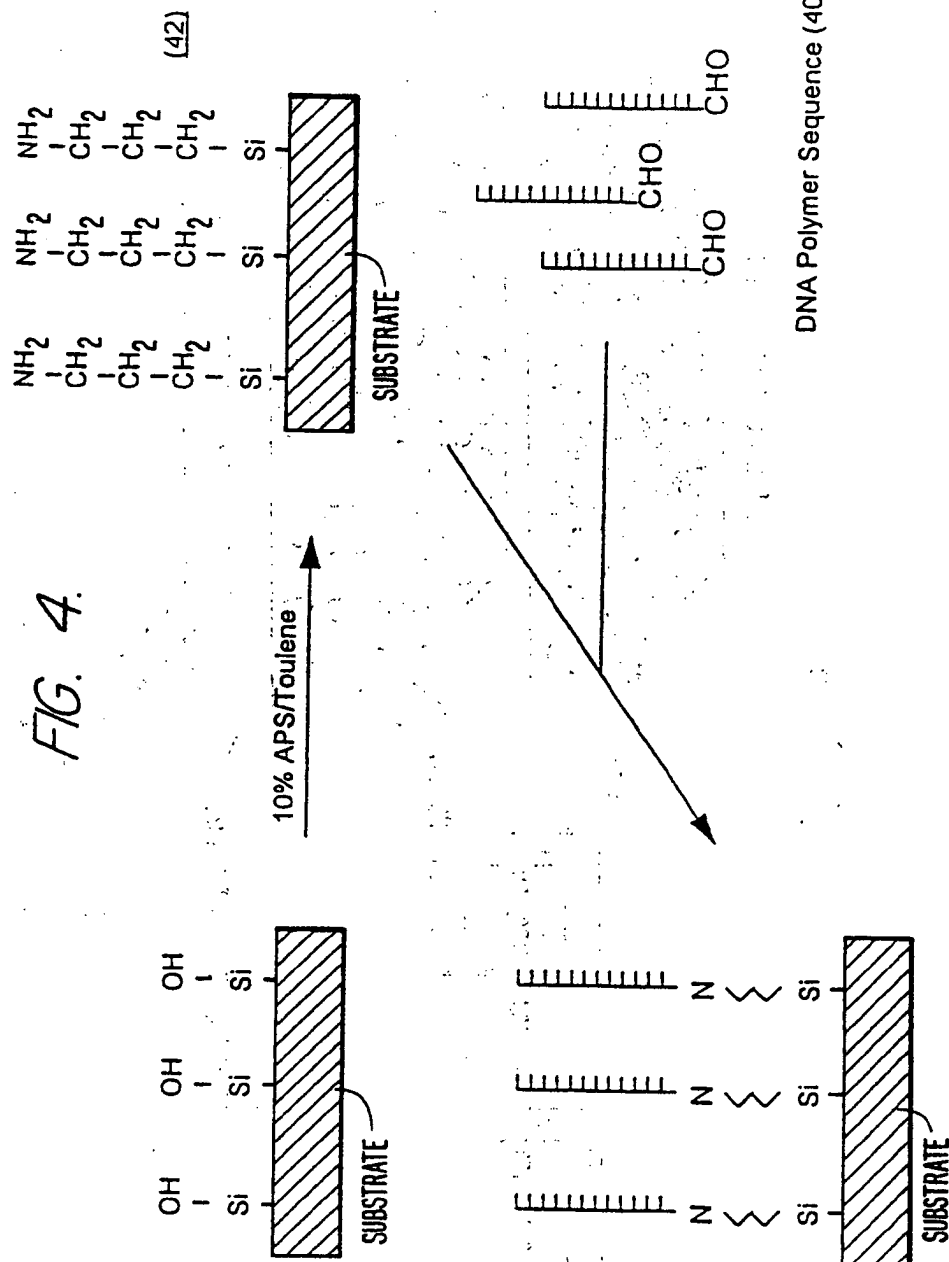
25 44. The self-addressable electronic device of claim 1
further including a system for the detection of
fluorescent or colorimetric binding reactions and
assays, comprising:

a detector system positioned adjacent the
selectively addressable electrode.

30 45. The detection system of claim 44 or 49, wherein the
detector is an optoelectronic detector chosen from
the group: photodiode, avalanche photodiode, or
photomultiplier tube.

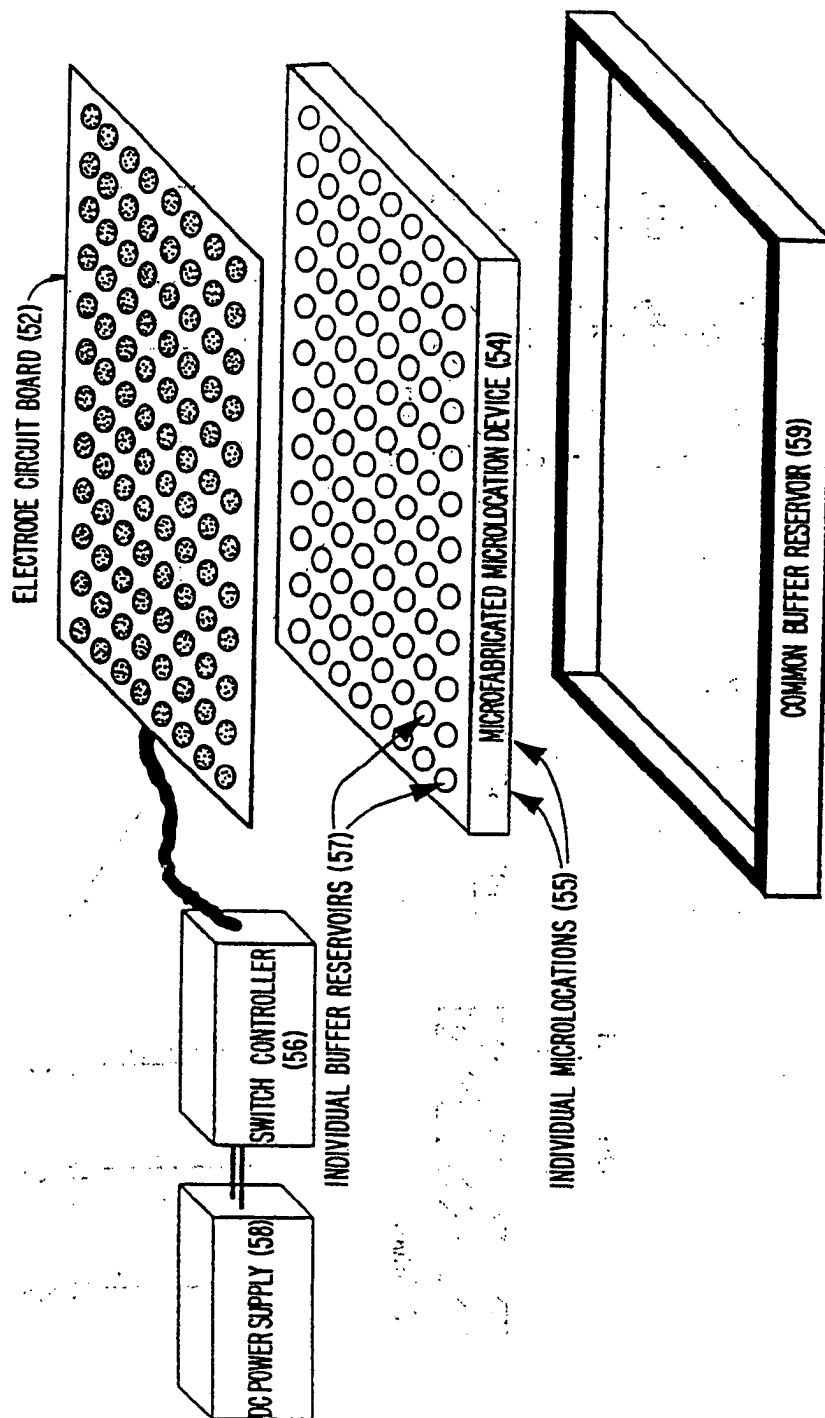
46. The detection system of claim 44 or 49, wherein the detector is an optoelectronic imaging detector chosen from the group: charged coupled device, cooled charged coupled device, intensified charged coupled device, or microchannel device.
47. The detection system of claim 44 or 49, wherein the detector is capable of detecting the emission of fluorescent radiation.
48. The detection system of claim 44 or 49 wherein the detector is capable of detecting the absorption of spectrophotometric radiation.
49. A system for the detection of fluorescent or colorimetric binding reactions and assays, comprising:
15. two or more addressable locations on a substrate; and
- one or more detector systems positioned adjacent to at least one of the locations and formed integral with the substrate.

FIG. 4.



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FIG. 5.



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FIG. 8a.

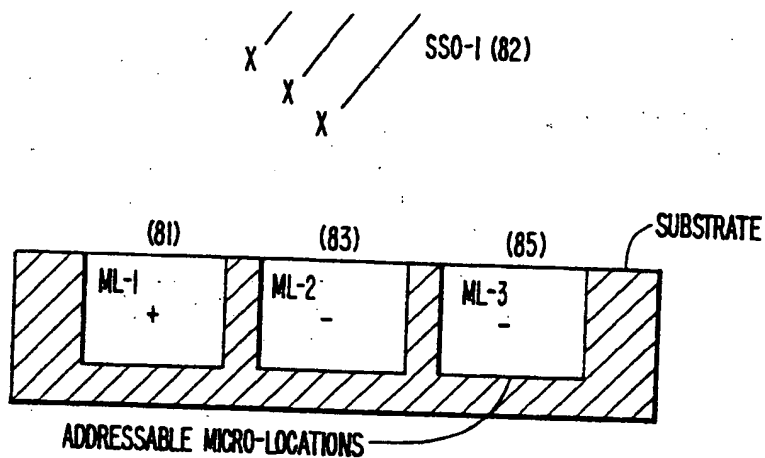


FIG. 8b.

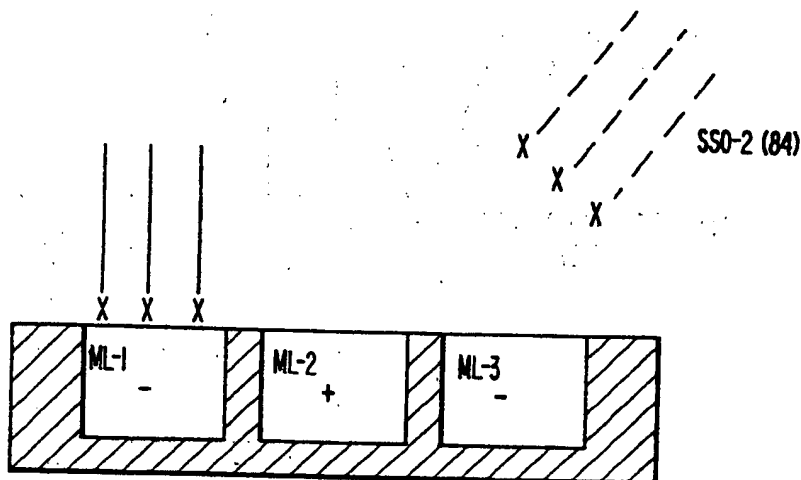


FIG. 8c.

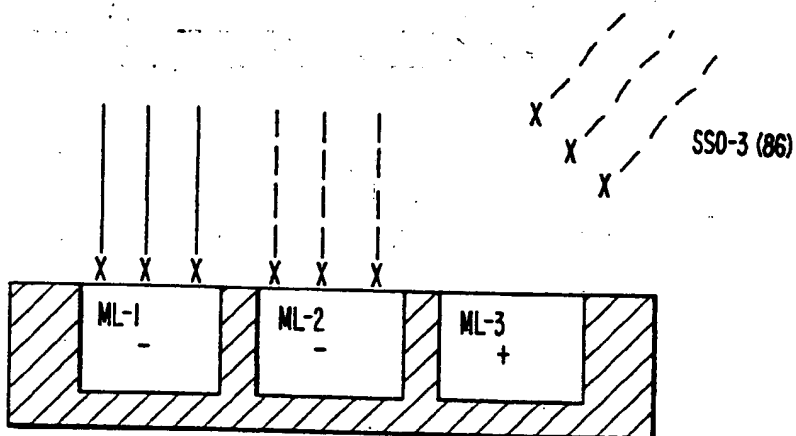
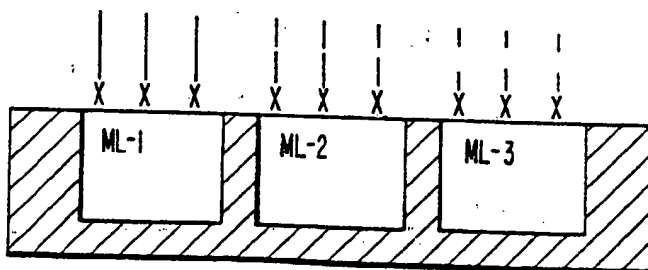
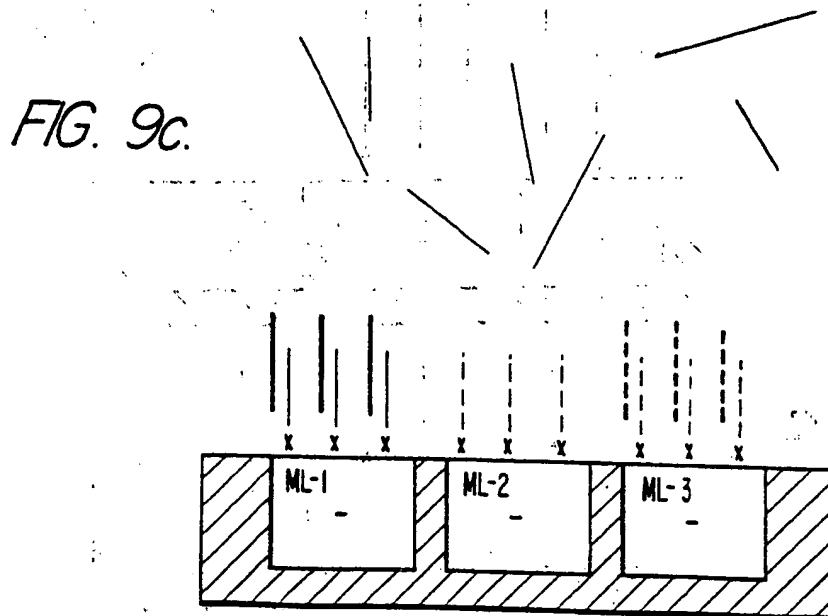
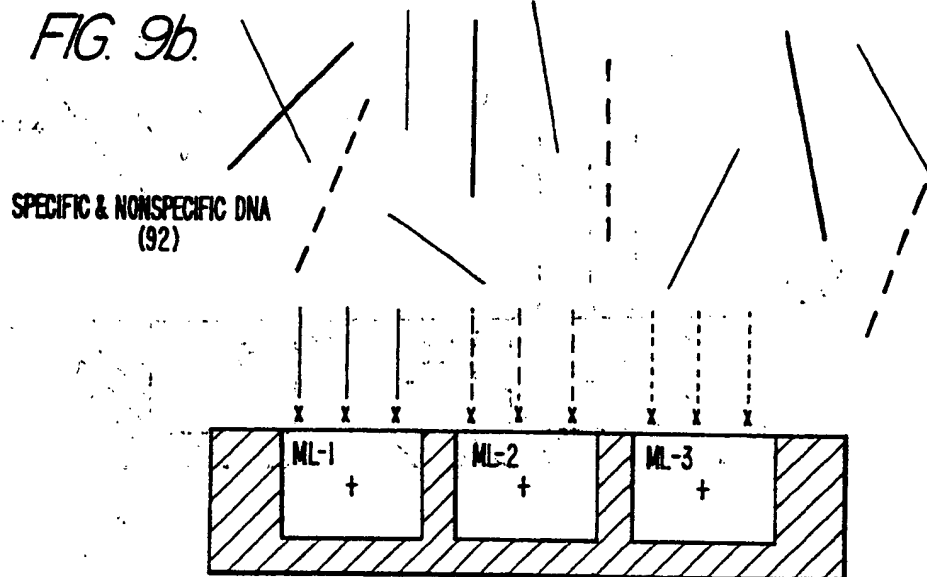
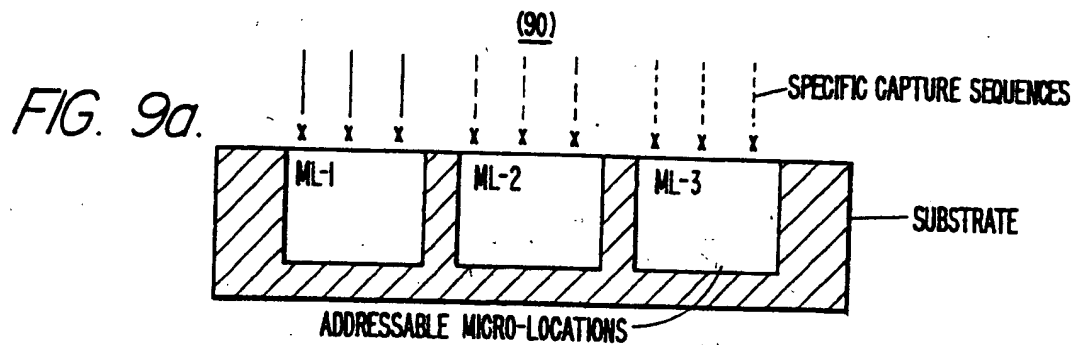


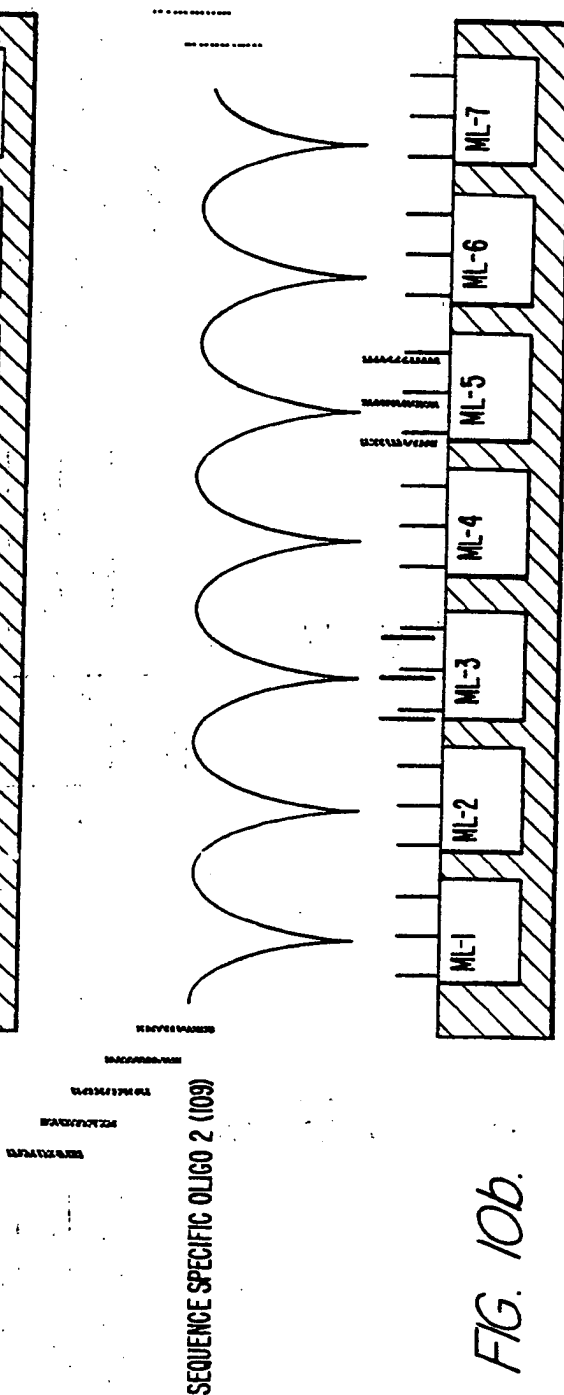
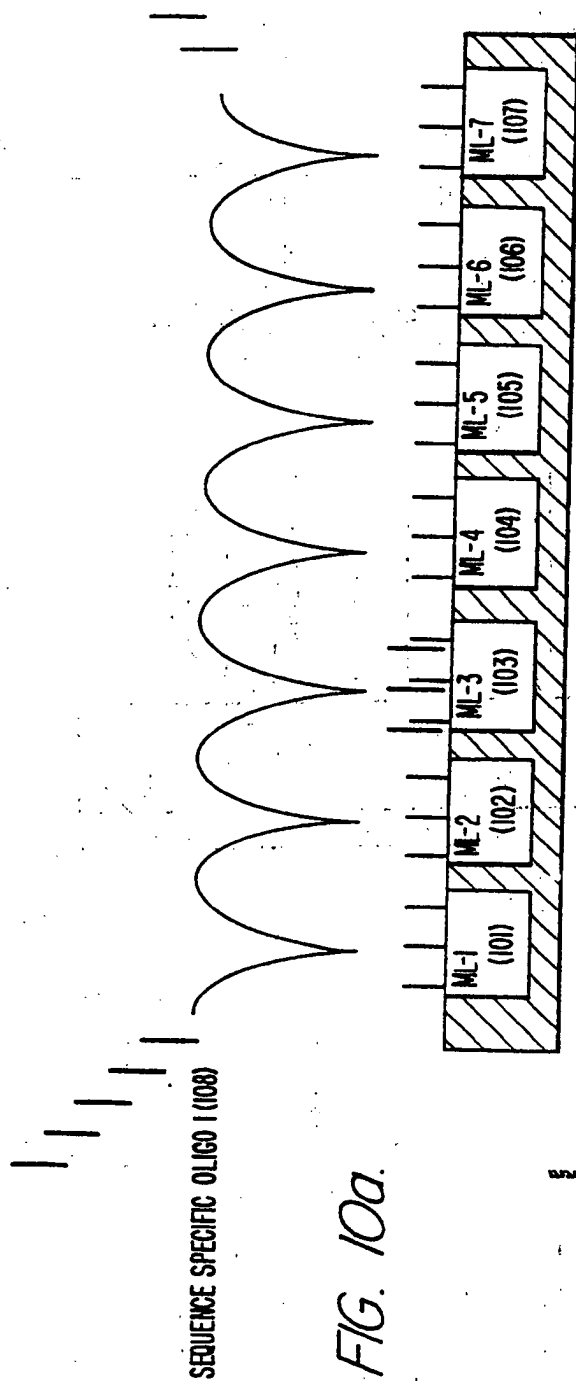
FIG. 8d.



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FIG. 11a.

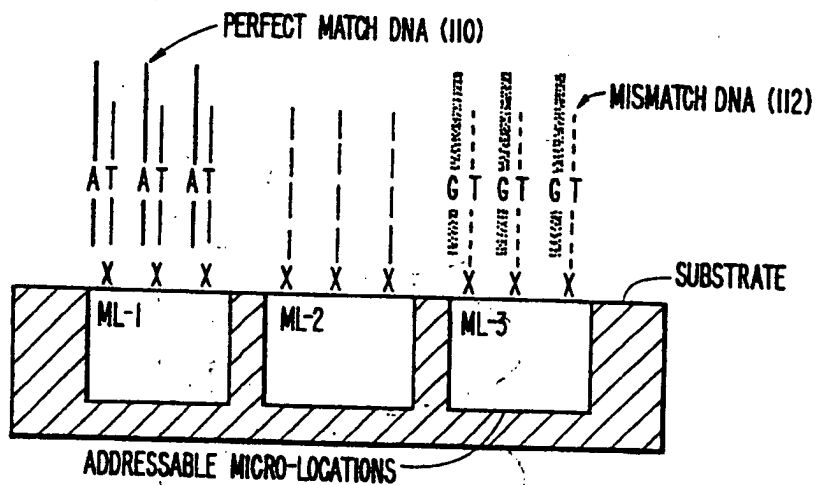


FIG. 11b.

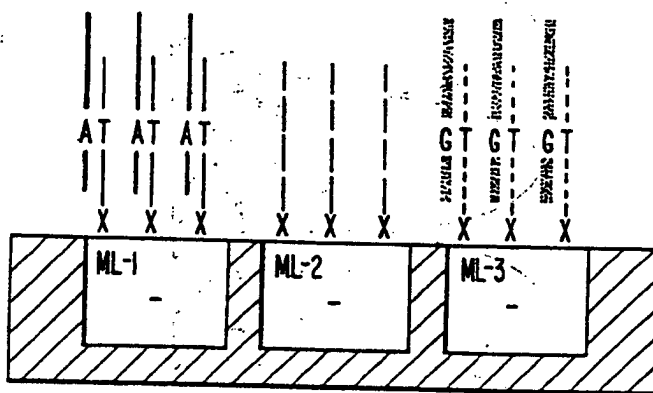
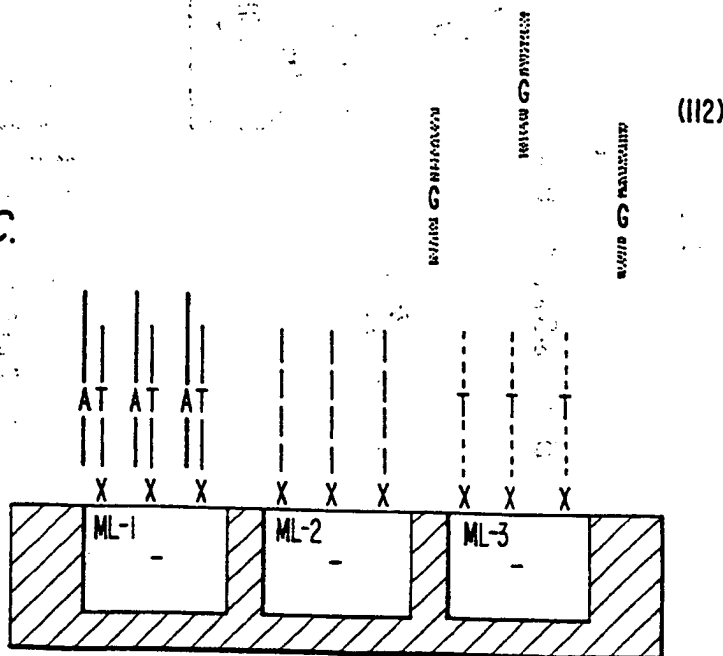


FIG. 11c.



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FIG. 13a.

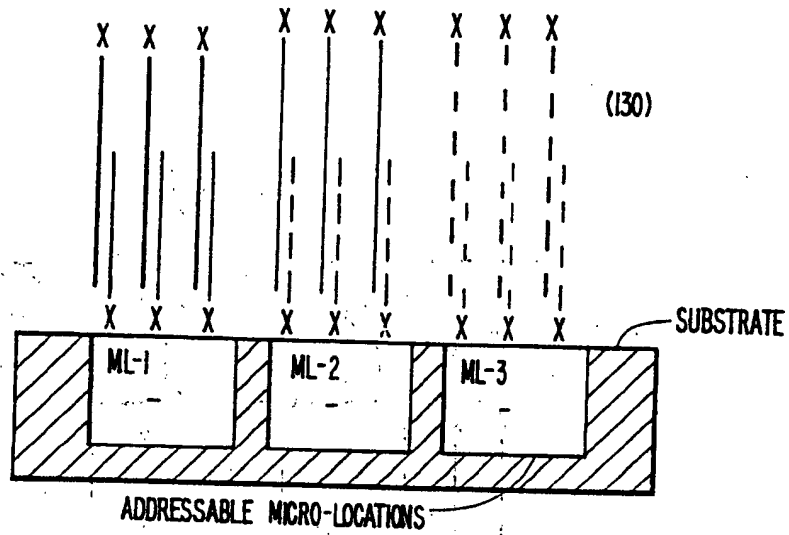
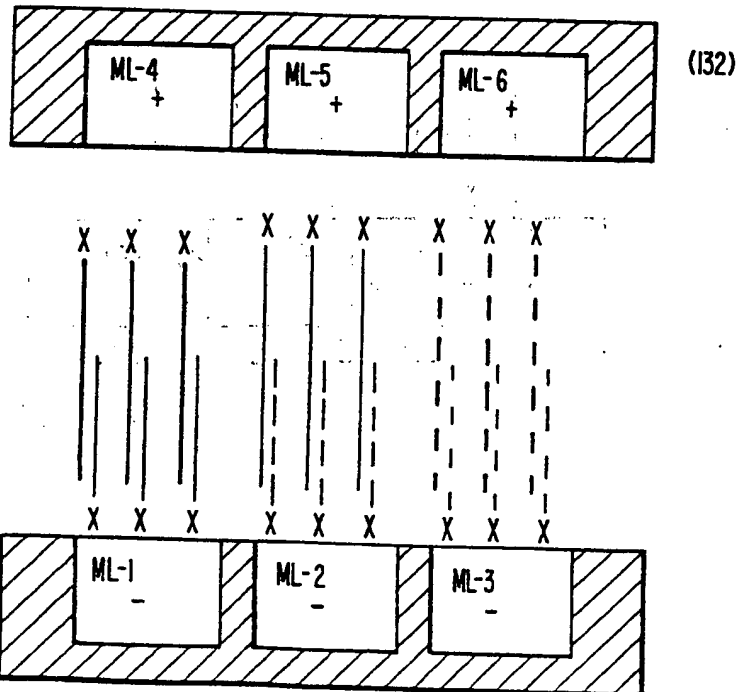
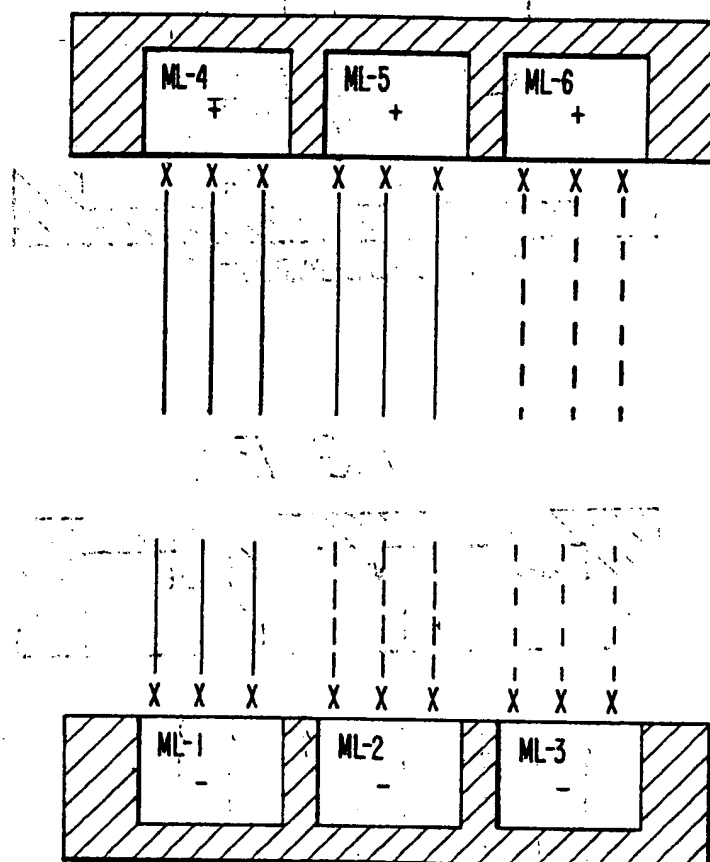


FIG. 13b.



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FIG. 13c.



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FIG. 14a.

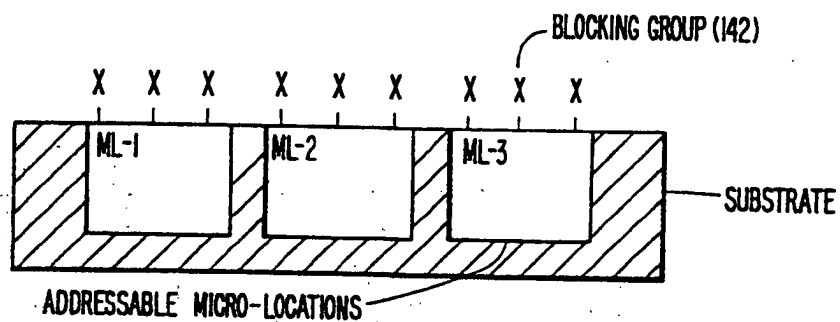


FIG. 14b.

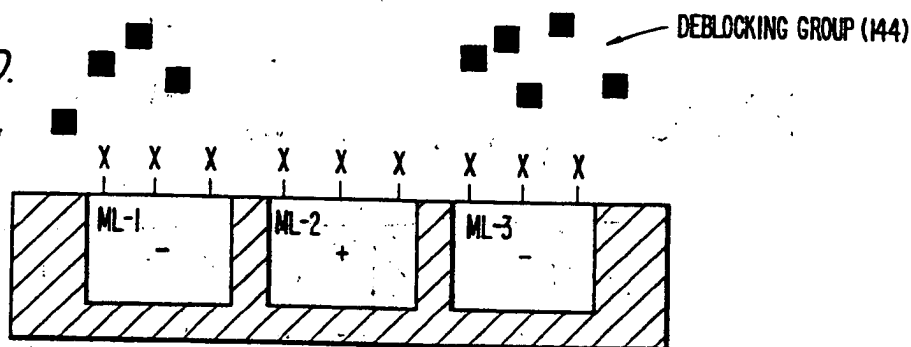
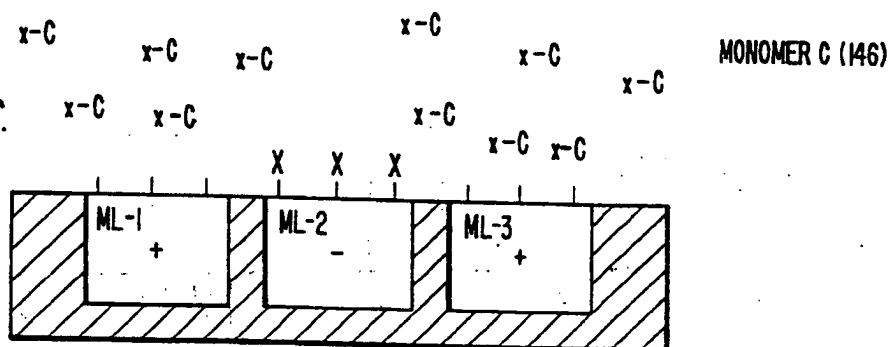


FIG. 14c.



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FIG. 14d.

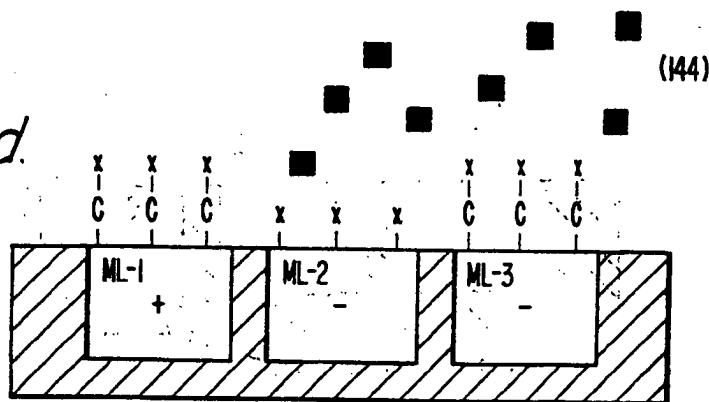


FIG. 14e.

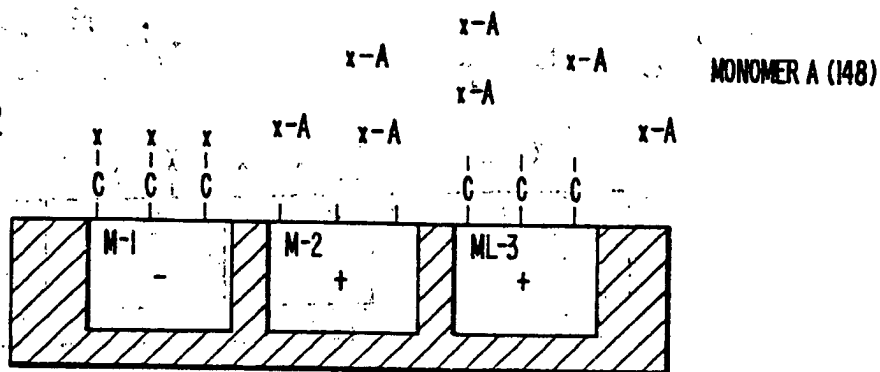
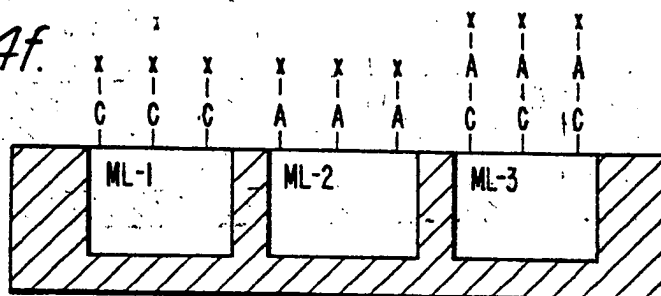


FIG. 14f.



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/12270

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
☐ No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/12270

B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

422/50, 52, 56, 57, 58, 62, 68.1, 69, 82.01, 82.05, 82.06, 82.07, 82.08, 82.09; 435/4, 5, 6, 7.1, 810; 436/501, 63, 72; 536/25.3, 25.4

B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

CAS, BIOSIS, MEDLINE, WORLD PATENT INDEX, BIOTECH ABSTRACTS.

search terms: hybridization, biochip, array, charge, detection, DNA, nucleic

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claims 1-34, drawn to electronic devices with a substrate and a permeation layer.

Group II, claims 35-39, drawn to methods of electronically controlling hybridization of DNA.

Group III, claim 40, drawn to a method of actively transporting DNA.

Group IV, claim 41, drawn to an electronically controlled method for combinational synthesis of a biopolymer.

Group V, claims 42 and 43, drawn to a method for replicating a self-addressable electronic device.

Group VI, claims 44-48, drawn to systems for the detection of fluorescent or colorimetric binding reactions and assays.

The inventions listed as Groups I-VI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: Group I is directed to devices with a substrate and permeation layer but contain no recited limitation that directs either their making or use to any other group per se. It is noted that binding entities are cited in claim 23, for example, but without any limitation that limits their use or preparation or directs same to another invention group as claimed. Binding entities are also well known and not deemed a special technical feature. Group I therefore lacks a special technical feature that links the claimed devices to any other invention group. Group II is directed to hybridization control but cites no limitation directed to any of Groups III-VI. That is, hybridization is not cited as a special technical feature for the transport of Group III etc. Group III is directed to transport of DNA but does cite synthetic limitations as its use etc. as cited in Groups IV etc. therefore also lacking a special technical feature that links Group III to the other Groups. Group IV is directed to biopolymer synthesis via directing monomers to selected locations on a substrate where a synthetic reaction can occur. Groups V and VI lack any biopolymer synthesis limitations thus causing Group IV to lack a common special technical feature with Groups V and VI. Group V is directed to replication of devices by hybridization reactions. No such hybridization reactions are cited as limitations in Group VI. Therefore Group V lacks a special technical feature in common with Group VI thus supporting a lack of unity. In summary, as discussed above all of Groups I-VI are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single inventive concept.